

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

-against-

**GREGORY T. DEAN and
DONALD J. FOWLER,**

Defendants.

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**17-CV-139 (GHW)
ECF Case**

**NOTICE OF PLAINTIFF SECURITIES AND EXCHANGE
COMMISSION'S MOTION FOR SUMMARY JUDGMENT AGAINST DEFENDANTS
GREGORY T. DEAN AND DONALD J. FOWLER**

PLEASE TAKE NOTICE that, upon the annexed Rule 56.1 Statement of Undisputed Facts of Plaintiff Securities and Exchange Commission, dated February 9, 2018, the annexed Declaration of David Stoelting, executed February 9, 2018, and the exhibits thereto, Plaintiff's Memorandum of Law in Support of its Motion for Summary Judgment, and all prior proceedings and pleadings in this action, Plaintiff Securities and Exchange Commission will move this Court, before the Honorable Gregory H. Woods, at the Daniel Patrick Moynihan United States Courthouse, 500 Pearl Street, New York, New York 10007, at a date and time to be determined by the Court, for an order granting Plaintiff summary judgment against Defendants Gregory T. Dean and Donald J. Fowler pursuant to Rule 56 of the Federal Rules of Civil Procedure, together with such additional relief as the Court may deem appropriate and just.

Dated: New York, New York
February 9, 2018

Respectfully submitted,

By: 
Jorge G. Tenreiro

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